Scaleable input gradient regularization for adversarial robustness

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Overview

- Neural networks used in computer vision are vulnerable to perturbations of their input specially crafted to cause misclassification, called *adversarial attacks*. These perturbations are invisible to the human eye [1]
- To date the most popular and effective defence against adversarial attacks is to train networks with adversarial images, called adversarial training (AT) [2]. However AT has not scaled well to very large networks and datasets, such as on ImageNet-1k.
- Instead we advocate training with input-gradient regularization, in which networks are penalized for having large gradients. We motivate input gradient regularization with theoretical lower bounds on the minimum distance necessary to adversarially perturb an image.
- When implemented with finite differences, input gradient regularization scales readily to larger regimes, avoiding 'double' backprop'.

Theoretical motivation: attack bounds from Taylor expansion

Adversarial attacks are found by minimizing the perturbation vabout an image x, such that the image is misclassified. If a network and loss $\ell(x)$ are L-Lipschitz, then the loss can be bounded above by

$$\ell(x+v) \le \ell(x) + L\|v\|$$
 (1)

For some losses, there is a constant ℓ_0 that determines whether or not the classification is correct.



Suppose then, there is a minimum adversarial perturbation $\ell(x+v) = \ell_0$. Using (1), the minimum adversarial distance is bounded below by

$$\|v\| > \frac{\max\{\ell_0 - \ell(x), 0\}}{1 + 1}$$

Unfortunately the Lipschitz constant of a network is a global quantity, and hard to estimate in general. If instead the network is differentiable, we can derive a tighter bound using *local* gradient information, provided we can estimate the maximum curvature C:

$$\|v\|_{2} \ge \frac{1}{C} \left(-\|\nabla \ell(x)\|_{2} + \sqrt{\|\nabla \ell(x)\|_{2}^{2} + 2C \max\left\{\ell_{0} - \frac{1}{C}\right\}} \right) = \frac{1}{C} \left(-\|\nabla \ell(x)\|_{2} + \sqrt{\|\nabla \ell(x)\|_{2}^{2} + 2C \max\left\{\ell_{0} - \frac{1}{C}\right\}} \right) = \frac{1}{C} \left(-\|\nabla \ell(x)\|_{2} + \sqrt{\|\nabla \ell(x)\|_{2}^{2} + 2C \max\left\{\ell_{0} - \frac{1}{C}\right\}} \right)$$

(L-bound)

 $\ell(x), 0$ (C-bound)

Interpretation: use input gradient regularization

What do (L-bound) and (C-bound) say heuristically?

- ▶ The loss gap, $\ell_0 \ell(x)$, between the misclassification threshold and the loss at the image, should be large. This is exactly what standard already does.
- ► The gradient of the loss, with respect to the input image, should be small. Since the Lipschitz constant of a network L is the maximum gradient over all inputs, small gradients should help bound (L-bound). Moreover *locally* small gradients help bound (C-bound).
- \blacktriangleright The network's maximum curvature C should be small. This is hard to penalize directly, however we shall see that finite difference approximations of the gradient regularizer can implicitly penalize for large curvature.

This suggests training a NN f(x; w) with input gradient regularization $\min_{w} \mathbb{E}_{(x,y)\sim\mathbb{P}} \left| \mathcal{L}(f(x;w),y) + \frac{\lambda}{2} \| \nabla_x \mathcal{L}(f(x;w),y) \|_*^2 \right|$

Input gradient regularization is not new to the NN community: it is commonly used in both training of autoencoders and GANs. It has been attempted in the adversarial robustness community in the past but experimental results were mixed.



Figure: Theoretical minimum lower bound on adversarial distance for ImageNet-1k, on networks with 'smooth ReLU' activation functions. Defended networks trained with $\lambda = 0.1$, penalized with squared ℓ_2 norm gradient.

Implementation: *finite differences are fast*

We approximate the gradient regularization term with finite differences rather than using double backprop. Let d be the normalized input gradient direction: $d = \nabla_x \ell(x) / \| \nabla_x \ell(x) \|_2$. Then

$$\|\nabla_x \ell(x)\|_2^2 \approx \left(\frac{\ell(x+hd)}{h}\right)$$

The error of this approximation is proportional to the curvature; thus a finite difference approximation *also penalizes curvature* implicitly.

Undefended, best empirical attack Undefended, 1st order lower bound Undefended, 2nd order lower bound Defended, best empirical attack Defended, 1st order lower bound --- Defended, 2nd order lower bound

Experimental results: *adversarial robustness that scales*

We train with gradient regularization, and attack models with a host of adversarial attacks [3]

- We obtain similar robustness results compared to the current state-of-the-art on CIFAR-10 [2]
- However unlike other reported methods, ours scales to ImageNet-1k: we can train adversarially robust models in just over a day on four consumer grade GPUs
- Experimental results show that as implemented here, gradient regularization *does not lead to "gradient obfuscation"* [4]



Figure: ℓ_{∞} norm adversarial attacks on CIFAR-10

Table: Adversarial robustness statistics, measured in the ℓ_{∞} norm. Top1 error is reported on CIFAR-10; Top5 error on ImageNet-1k. We report statistics using the best adversarial attack on a per-image basis.

	% clean	% error at		mean	training
	error	$\overline{\varepsilon = \frac{2}{255}}$	$\overline{\varepsilon = \frac{8}{255}}$	distance	time (hours)
CIFAR-10					
Undefended	4.36	70.82	98.94	6.62e-3	2.06
Madry et al (7-step AT)	16.33	22.86	46.02	$4.07\mathrm{e}{-2}$	12.10
squared ℓ_1 norm, $\lambda = 0.1$	6.45	24.92	70.41	2.35 e-2	5.22
squared ℓ_1 norm, $\lambda = 1$	9.02	18.47	58.69	3.34e-2	5.15
ImageNet-1k					
Undefended	6.94	90.21	98.94	3.94 e- 3	20.30
squared ℓ_2 norm, $\lambda = 0.1$	7.66	70.56	97.53	7.96e-3	32.60
squared ℓ_2 norm, $\lambda = 1$	10.26	52.79	95.93	9.95 e-3	33.87

References

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